



1713

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHANG, et al.

Serial No. 09/814,403

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC  
POLYMERS, A METHOD OF MAKING  
SAME AND ITEMS USING SAME

Art Unit: 1713

Examiner: Donald R. Wilson

E.R.P.  
3/25/03  
#15

Not in compliance  
with  
1.92c  
DRW

TC 1713 MAIL ROOM

MAR 20 2003

RECEIVED

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of each cited item is enclosed unless stated otherwise hereinbelow.

**Certificate**

It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application

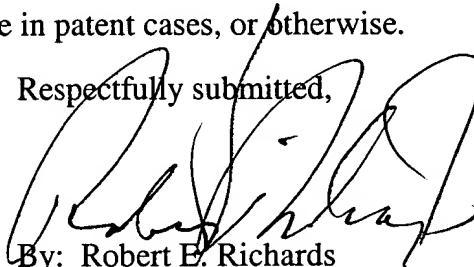
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC, 20231, on March 13, 2003.

Robert E. Richards - Reg. No. 29,105

not more than three months prior to the filing of the statement. A copy of the Written Opinion is also attached. Any references listed in the Written Opinion but not included in the attached PTO/SB/08A have been previously submitted.

The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

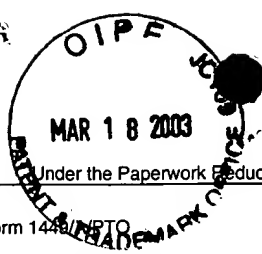
Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'Robert E. Richards'.

By: Robert E. Richards

Reg. No. 29,105

KILPATRICK STOCKTON LLP  
1100 Peachtree Street  
Suite 2800  
Atlanta, Georgia 30309-4530  
(404) 815-6500  
Our Docket: 44040-256047 (11302-1190)



#15

PTO/SB/08A (08-00)

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

<b>Substitute for Form 1449</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>			
		<b>Application Number</b>	<b>09/814,403</b>		
		<b>Filing Date</b>	<b>March 22, 2001</b>		
		<b>First Named Inventor</b>	<b>Chang et al.</b>		
		<b>Group Art Unit</b>	<b>1713</b>		
		<b>Examiner Name</b>	<b>Donald R. Wilson</b>		
<b>Sheet</b>	<b>1</b>	<b>of</b>	<b>1</b>	<b>Attorney Docket Number</b>	<b>11302-1190 (44040-256047)</b>

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	4,356,229		Brodnyan et al	10-26-1982	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

### OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

RECEIVED  
MAR 20 2003  
TO 1706 MAIL ROOM